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Approved for use through 09/30/2000. OMB 0851-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. PACFI-001C1
First Inventor or Application Identifier Paul H. Bennett
Title TAMPER-EVIDENT CONTAINER CLOSURE
Express Mail Label No. E1531408102US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☒ Specification (Total Pages 43)
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 3)
- Oath or Declaration (Total Pages 1)
 - ☐ Newly executed (original or copy)
 - ☒ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

NOTE FOR ITEMS 1 & 15: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.77). EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON AT 37 C.F.R. § 1.78.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☐ Microfiche Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Copy
 - ☐ Paper Copy (identical to computer copy)
 - ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ Small Entity Statement(s) ☒ Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Other: For CON; DIV; CIP: Extension of Time Petition (if needed)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09,014,075
Prior application information: Examiner S. Cronin Group / Art Unit: 3207

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Eox 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 007663 or ☐ Correspondence address below
(Insert Customer No. or Attach bar code label here)

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Name (Print/Type) Mark B. Garred, Esq. Registration No. (Attorney/Agent) 34,823
Signature [Signature] Date 11/16/99

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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<h1>FEE TRANSMITTAL</h1> <p>Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</p>		Complete if Known	
		Application Number	Unknown
		Filing Date	Herewith
		First Named Inventor	Päul H. Bennett
		Examiner Name	S. Cronin
		Group / Art Unit	3207
TOTAL AMOUNT OF PAYMENT		(S)	380.00
		Attorney Docket No.	PACFI-001C1

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																									
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 19-4330</p> <p>Deposit Account Name: STETINA BRUNDA ET AL.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set In 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Small Entity Fee Code</th> <th>N/A Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>205</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>227</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>139</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>147</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>112</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>113</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>215</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>216</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>217</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>218</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>228</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>219</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>220</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>221</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>138</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>240</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>241</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>242</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>243</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>244</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>122</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>123</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>126</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>581</td><td>Recording each patent assignment per property (Times number of properties)</td><td></td></tr> <tr><td>148</td><td>248</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>149</td><td>249</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td colspan="3">Other fee (specify) _____</td><td></td></tr> <tr><td colspan="3">Other fee (specify) _____</td><td></td></tr> <tr><td colspan="3">SUBTOTAL (3)</td><td>(S)</td></tr> </tbody> </table>		Large Entity Fee Code	Small Entity Fee Code	N/A Fee Description	Fee Paid	105	205	Surcharge - late filing fee or oath		127	227	Surcharge - late provisional filing fee or cover sheet		139	139	Non-English specification		147	147	For filing a request for reexamination		112	112	Requesting publication of SIR prior to Examiner action		113	113	Requesting publication of SIR after Examiner action		115	215	Extension for reply within first month		116	216	Extension for reply within second month		117	217	Extension for reply within third month		118	218	Extension for reply within fourth month		128	228	Extension for reply within fifth month		119	219	Notice of Appeal		120	220	Filing a brief in support of an appeal		121	221	Request for oral hearing		138	138	Petition to institute a public use proceeding		140	240	Petition to revive - unavoidable		141	241	Petition to revive - unintentional		142	242	Utility issue fee (or reissue)		143	243	Design issue fee		144	244	Plant issue fee		122	122	Petitions to the Commissioner		123	123	Petitions related to provisional applications		126	126	Submission of Information Disclosure Stmt		581	581	Recording each patent assignment per property (Times number of properties)		148	248	Filing a submission after final rejection (37 CFR 1.129(a))		149	249	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify) _____				Other fee (specify) _____				SUBTOTAL (3)			(S)
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SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Mark B. Garred, Esq.	Reg. Number	34,823
Signature		Date	11/16/99
		Deposit Account User ID	

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